



Two Pell Grants In An Award Year

March 2010



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Two Pell Grants In An Award Year

Topics to Be Covered

- **Basic Concepts**
- **Regulatory Requirements**
- **Cross-Over Payment Period Requirement**
- **Cross-Over Payment Period Transition**
- **Cross-Over Payment Period Packaging**
- **Acceleration Requirement**
- **Operations**
- **Questions**



Two Pell Grants In An Award Year Basic Concepts



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Two Pell's In An Award Year

- Authorized by the Higher Education Opportunity Act (HEOA).
- If eligible, student able to receive all or a portion of a second Scheduled Award within an Award Year.
- Objective is to help needy students accelerate their academic progress.
- Effective for the 2009-2010 Award Year.
 - Implementation by schools is not optional.
 - Students eligible for second Scheduled Award in 2009-2010 must be paid.
- Final Regulations Published October 29, 2009.

Two Pell's: Student Eligibility

- Second Scheduled Award
 - Student otherwise Pell Grant eligible.
 - Enrolled at least half-time.
 - Received 100% of first Scheduled Award.
 - Amounts received will count toward life-time aggregate limit, if applicable.
 - Beginning with 2010-2011 Award Year student must demonstrate academic year acceleration.

Two Pell's: Student Eligibility

- No change in way award for payment period is calculated
 - Pell awarding formulas have not changed
 - Calculation by payment period based on student's Scheduled Award
- Within an Award Year, school continues to pay eligible student until reaching 200% of Scheduled Award for the Award Year
 - A payment period may include awards from both first Scheduled Award and second Scheduled Award.

Cross-Over Payment Period

- Longstanding Policy –
 - Payment period that includes both June 30 and July 1.
 - Must be assigned to one award year.
 - Must have valid SAR/ISIR for assigned year.
 - First and last cross-over payment periods could be from the same award year.
 - May be different award year for Pell than for other Title IV aid. See later slides.

If Law Had Not Changed - Semester Example

Student may only receive up to ONE Scheduled Award within an Award Year. Assume student's 2009-2010 Scheduled Award is \$5,350 and will be \$5,550 for 2010-2011.

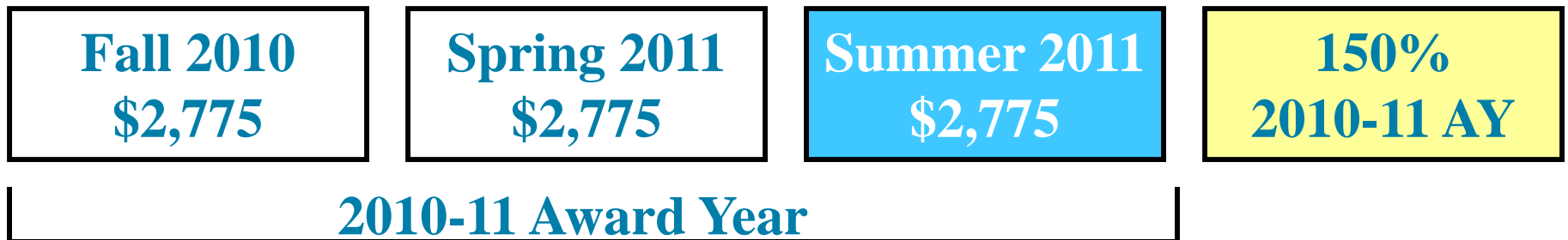
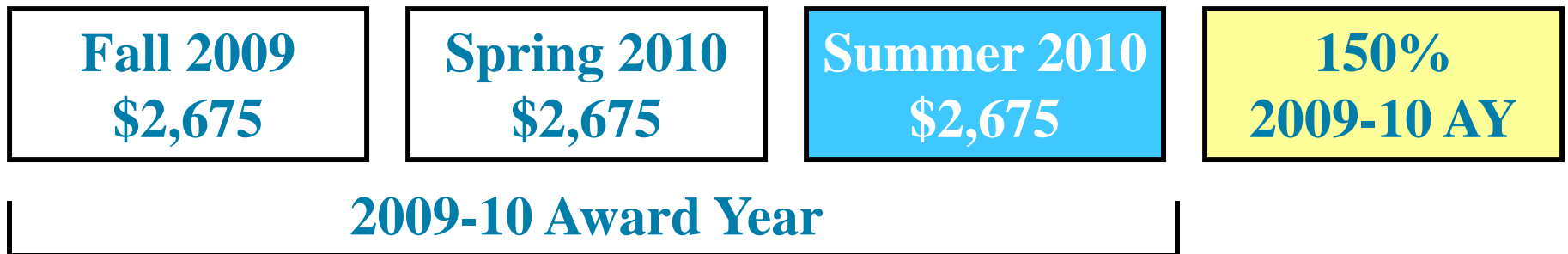
Fall 2009 \$2,675	Spring 2010 \$2,675	Summer 2010 \$0	100% 2009-10 AY
2009-10 Award Year			

Summer 2010 \$2,775	Fall 2010 \$2,775	Spring 2011 \$0	100% 2010-11 AY
2010-11 Award Year			



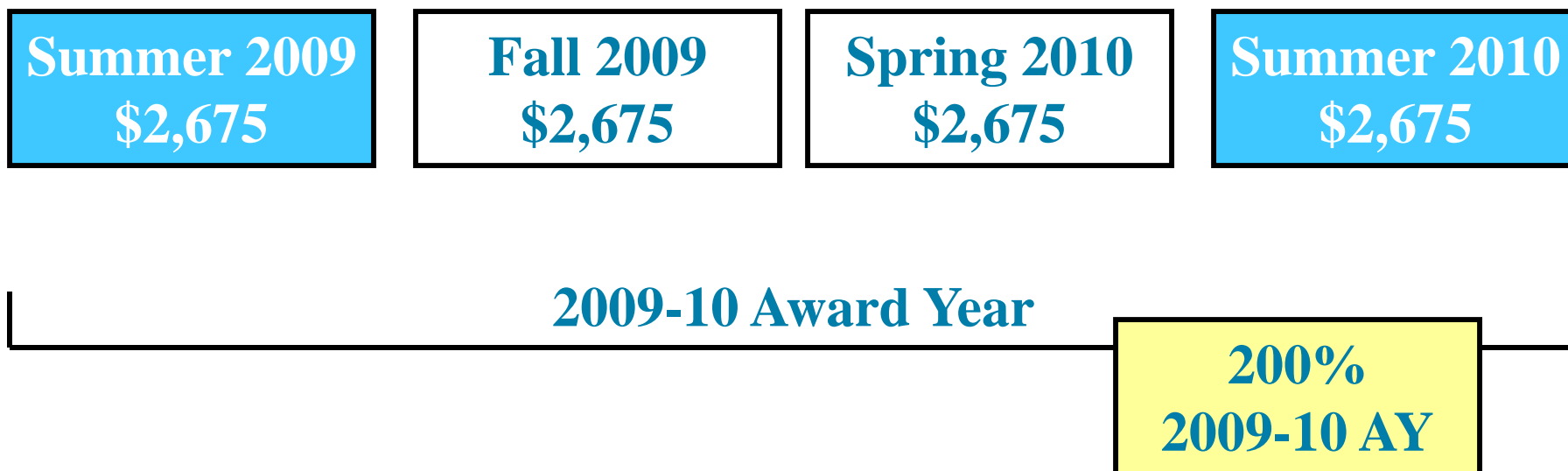
New Law - Semester Example

Student may receive up to TWO Scheduled Awards within an Award Year. Assume student's 2009-2010 Scheduled Award is \$5,350 and will be \$5,550 for 2010-2011.



New Law - Semester Example

Student may receive up to TWO Scheduled Awards.
Student's Scheduled Award is \$5,350 for the Award Year.



If Law Had Not Changed Clock Hours – Program Within One Award Year

Student may receive ONE Scheduled Award.

1500 clock hour program AY = 900 hours/26 weeks

Scheduled Award is \$5,350 for 2009-2010

450 hours/13 weeks
\$2,675

450 hours/13 weeks
\$2,675

2009-10 Award Year

100%
2009-10 AY

300 hours/8 weeks
\$0

300 hours/8 weeks
\$0

2009-10 Award Year



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New Law

Clock Hours – Program Within One Award Year

Student may receive up to TWO Scheduled Awards

1500 clock hour program AY = 900 hours/26 weeks

Student's Scheduled Award is \$5,350 for 2009-2010.

450 hours/13 weeks
\$2,675

450 hours/13 weeks
\$2,675

2009-10 Award Year

161.6%
2009-10 AY

300 hours/8 weeks
\$1,647

300 hours/8 weeks
\$1,646

2009-10 Award Year



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Cross-Over Payment Period

- Longstanding Policy –
 - Payment period that includes both June 30 and July 1.
 - Must be assigned to one award year.
 - Must have valid SAR/ISIR for assigned year.
 - First and last cross-over payment periods could be from the same award year.
 - May be different award year for Pell than for other Title IV aid. See later slides.

If Law Had Not Changed

Credit Hour With Cross-Over Payment Period

Student may receive up to ONE Scheduled Award

52 credit hour program AY = 24 credit hours/30 weeks

Scheduled Award is \$5,350 for 2009-10 and \$5,550 for 2010-11.

First three payments all within 2009-10 Award Year.

12 credits/15 weeks Aug to Nov \$2,675	12 credits/15 weeks Dec to Apr \$2,675	12 credits/15 weeks May to Aug \$0
2009-10 Award Year		
12 credits/15 weeks Aug to Nov \$2,775	4 credits/5 weeks Dec to Jan \$925	100% 2009-2010 AY
2010-11 Award Year		66.6% 2010-2011 AY



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New Law

Credit Hour With Cross-Over Payment Period

Student may receive up to TWO Scheduled Awards

52 credit hour program; AY = 24 credit hours/30 weeks

Student's Scheduled Award is \$5,350 for 2009-10 and \$5,550 for 2010-

11. First three payments all within 2009-10 Award Year.

12 credits/13 weeks

Aug to Nov

\$2,675

12 credits/13 weeks

Dec to Apr

\$2,675

12 credits/13 weeks

May to Aug

\$2,675

2009-10 Award Year

12 credits/13 weeks

Aug to Nov

\$2,775

4 credits/5 weeks

Dec to Jan

\$925

**150% 2009-
2010 AY**

**66.6% 2010-
2011 AY**

2010-11 Award Year



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One Term -Two Awards

- When the calculated award for a payment period is greater than remaining balance of first Scheduled Award, the award for the payment period is the remaining amount of the first Scheduled Award plus an amount from the second Scheduled Award.
 - May be awarded as one amount.
 - Will be reported to COD as one amount.

One Term - Two Awards

Completes first Scheduled Award and begins second Scheduled Award within same term

Scheduled Award is \$5,350

Summer 2009
Three-Quarter Time

Award Amount -\$2,006
\$2,006 from First Scheduled Award which is 37.5% of the First Scheduled Award

Total Percent of First Scheduled Award is 37.5%

Fall 2009
Full-Time

Award - \$2,675
\$2,675 from First Scheduled Award which is 50% of the First Scheduled Award

Total Percent of First Scheduled Award is 87.5%

Spring 2010
Full-Time

Award - \$2,675
\$669 from First Scheduled Award which is the remaining 12.5% of the First Scheduled Award

\$2,006 from Second Scheduled Award which is 37.5% of the Second Scheduled Award





Two Pell Grants In An Award Year Regulatory Requirements



Final Regulations – October 29, 2009

- Effective with the 2010-11 Award Year
 - Cross-Over Payment Period
 - If cross-over payment period, school must award from the award year with the highest award amount for the payment period for the student.
 - Acceleration – Academic Year Progression
 - At least one credit or clock hour in the payment period must be attributable to the student's next academic year.



Two Pell Grants In An Award Year

Cross-Over Payment Period Requirements



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Cross-Over Payment Period

- Longstanding Policy –
 - Payment period that includes both June 30 and July 1.
 - Must be assigned to one award year.
 - Must have valid SAR/ISIR for assigned year.
 - First and last cross-over payment periods could be from the same award year.
 - May be different award year for Pell than for other Title IV aid. See later slides.

New Regs for Cross-Over Term

- Effective with the 2010-2011 Award Year
- Must assign to award year in which student receives greater payment for the term - based upon information available at initial calculation.
 - Assume other year is higher if –
 - No SAR/ISIR.
 - Rejected ISIR with no EFC.
 - ISIR selected for verification but verification not completed.

New Regs for Cross-Over Term

- Effective with the 2010-2011 Award Year
- Must assign to award year in which student receives greater payment for the term - based upon information available at initial calculation.
 - Student not eligible for second scheduled award funds, regardless of which Award year is higher if –
 - Student not enrolled at least half-time
 - Student does not meet acceleration requirement.

New Regs for Cross-Over Term

Changes –

- Until date published in Federal Register (September ???) -
 - Must reassign payment period if information received showing greater payment from other Award Year.
 - Must compare again if re-calculating for any reason.
- May monitor and adjust after Federal Register date.

New Law – Assign Cross-Over To Higher Payment Semester Example

Student may receive up to TWO Scheduled Awards. Assume student's 2009-10 Scheduled Award is \$5,350 and \$5,550 for 2010- 2011 and student eligible for either.

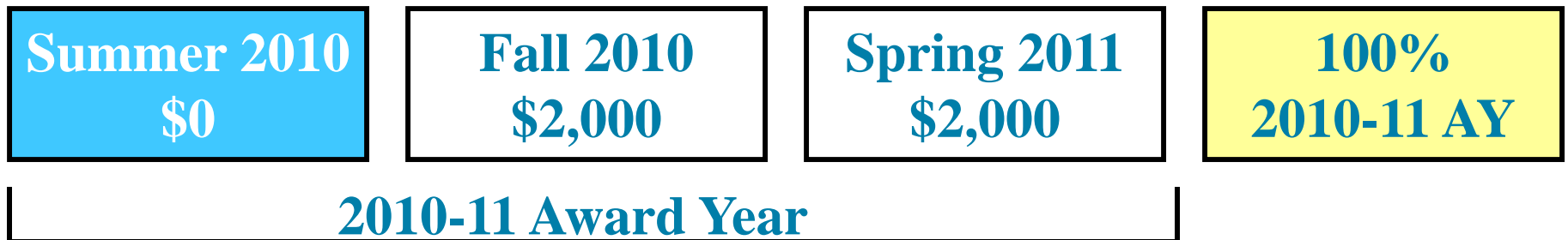
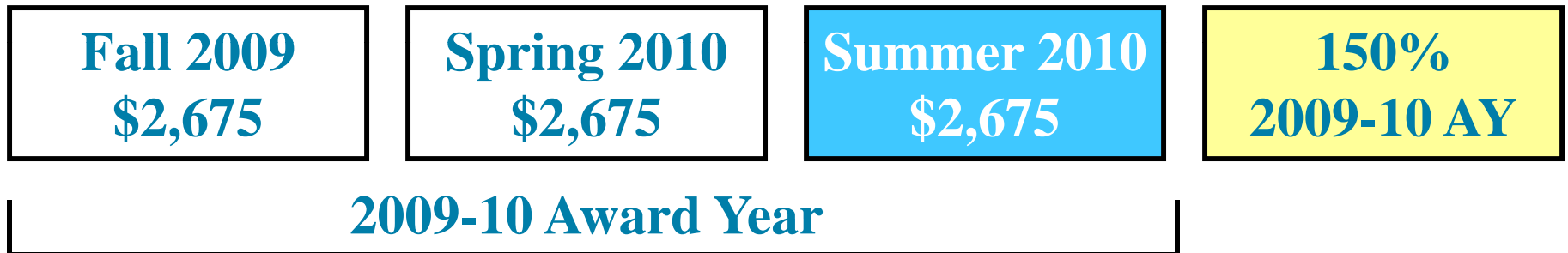
Fall 2009 \$2,675	Spring 2010 \$2,675	Summer 2010 \$0	100% 2009-10 AY
2009-10 Award Year			

Summer 2010 \$2,775	Fall 2010 \$2,775	Spring 2011 \$2,775	150% 2010-11 AY
2010-11 Award Year			

New Law – Assign Cross-Over To Higher Payment Semester Example

Student may receive up to TWO Scheduled Awards.

Assume student's 2009-10 Scheduled Award is \$5,350 but only \$4,000 for 2010-11 and student eligible for either.



New Law – Assign Cross-Over To Higher Payment Semester Example

Student may receive up to TWO Scheduled Awards.

Scheduled Award is \$5,350 for 2009-2010 and \$5,550 for 2010-2011.

Paid as Header

*Paid With
Higher Award*

Summer 2009 \$2,675	Fall 2009 \$2,675	Spring 2010 \$2,675	Summer 2010 \$2,775
2009-10			2010-11

New Law – Assign Cross-Over To Higher Payment Semester Example

Student may receive up to TWO Scheduled Awards .
Scheduled Award is \$5,350 for 2009-2010 and less for 2010-2011.

Paid as Header

*Paid With
Higher Award*

Summer 2009
\$2,675

Fall 2009
\$2,675

Spring 2010
\$2,675

Summer 2010
\$2,675

2009-10 Award Year



Two Pell Grants In An Award Year

Cross-Over Payment Period Transition



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2009 -2010 Cross-Over Transition

- 2009-2010 Award Year – Award Required
 - Generally if awarding second Scheduled Award from 2009-2010 (including summer 2010 cross-over) new regulations do not apply.
- 2010-2011 Award Year – Award Required
 - Generally, if awarding from 2010-2011 and beyond (including summer 2010 cross-over) new regulations do apply.
- Must develop 2010 cross-over policy prior to July 1, 2010 or regulations apply.

Set Your Policy??

- School must have a written policy on how it will determine to which award year a student's cross-over payment period will be assigned.
- Must develop policy prior to July 1, 2010 or regulations apply.
- Policy may provide for –
 - Awarding all students from 2009-2010, if eligible.
 - Awarding all students from 2010-2011, if eligible.
 - Awarding on a student by student basis.

2009 -2010 Cross-Over Transition

For most flexibility, set your Pell cross-over term policy BEFORE July 1, 2010.

- Policy may provide for –
 - Awarding students from 2009-2010
 - Don't review 2010-2011 ISIR's
 - No review for acceleration
 - Awarding students from 2010-2011
 - Awarding on a student by student basis.

2009 -2010 Cross-Over Transition

- Policy Established Prior to July 1, 2010
 - Awarding from 2009-2010 Award Year
 - Rules do not apply unless school's policy states they will, therefore –
 - Need not compare to 2010-2011.
 - Need not invoke acceleration rule.

2009 -2010 Cross-Over Transition

- Policy Established Prior to July 1, 2010
 - Awarding from 2010-2011 Award Year
 - Rules Apply, therefore -
 - Must pay higher of student's 2009-2010 or 2010-2011 award.
 - If 2009-2010, acceleration rule does not apply unless school's policy calls for it.
 - If 2010-2011, acceleration rule not applicable since this would not be second Scheduled Award.

2009 -2010 Cross-Over Transition

- Policy established on or after July 1, 2010
 - Rules apply for both years–
 - Must pay higher of student's 2009-2010 or 2010-2011 award.
 - If 2009-2010, acceleration rule applies, regardless of school's policy.
 - If student has not progressed to next academic year, student not eligible for 2009-2010 award therefore pay Pell from 2010-2011 if eligible.



Two Pell Grants In An Award Year

Cross-Over Payment Period Packaging



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Cross-Over Payment Period - Packaging

- May use different Award Year for Pell than for other Title IV aid.
 - For Pell use Award Year from which payment will be made for –
 - EFC
 - COA
 - COD reporting
 - EFC and COA for all other Title IV programs can be from the other year, but must be the same for those programs.
- Amount of Pell is EFA for other aid.



2010 Summer Cross-Over Packaging Example -

- Student attending full-time and meets all eligibility requirements for a 1st or 2nd Pell from either Award Year.
- Summer COA is \$5,000
- 2009-2010 EFC is 900 with Scheduled Award of \$4,900, The summer EFC is 300
 - Award for term is \$2,450
 - 2010- 2011 EFC is 0 with Scheduled Award of 5,550
 - Award for term is \$2,775

2010 Summer Cross-Over Packaging:

Example #1: 2009-2010 Package (Pell as "Trailer") Pell to be paid from 2009-2010

COA	\$5,000
- <u>EFC</u>	<u>300</u>
= Need	4,700
- Pell	2,450
= Remaining Need	2,250
- Sub Loan	1,600
- <u>Perk/FWS/ FSEOG</u>	<u>650</u>

Pell amount based on 2009-2010 Scheduled Award of \$4,900 and payment period award of \$2,450.

For all other TIV aid, school used 2009-2010 EFC of 500.

2010 Summer Cross-Over Packaging:

Example #2: 2009-2010 Package (Pell as "Header") Pell to be paid from 2010-2011

COA	\$5,000
- EFC	300
<hr/>	
= Need	4,700
- Pell	2,775
= Remaining Need	1,925
- Sub Loan	1,275
- <u>Perk/FWS/ FSEOG</u>	<u>650</u>

Pell amount based on 2010-2011 Scheduled Award of \$5,550 and payment period award of \$2,775.

For all other TIV aid, school uses 2009-2010 EFC of 500.

2010 Summer Cross-Over Packaging:

Example #3: 2010-2011 Package (Pell as "Header") Pell to be paid from 2009-2010

COA	\$5,000
- EFC	<u>0</u>
= Need	5,000
- Pell	2,450
= Remaining Need	2,550
- Sub Loan	1,600
- <u>Perk/FWS/ FSEOG</u>	<u>450</u>

Pell amount based on 2009-2010 Scheduled Award of \$4,900 and payment period award of \$2,450.

For all other TIV aid, school uses 2010-2011 EFC of 0.

2010 Summer Cross-Over Packaging:

Example #4: 2010-2011 Package (Pell as "Header") Pell to be paid from 2010-2011

COA	\$5,000
- EFC	<u>0</u>
= Need	5,000
- Pell	2,775
= Remaining Need	2,225
- Sub Loan	1,775
- <u>Perk/FWS/ FSEOG</u>	<u>450</u>

Pell amount based on 2010-2011 Scheduled Award of \$5,550 and payment period award of \$2,775.

For other TIV aid, school also uses 2010-2011 EFC of 0.



Two Pell Grants In An Award Year ★ Acceleration ★



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Acceleration

- At least one credit or clock hour (or partial hour if school uses partial hours) in the payment period when award will be from a second Scheduled Award must be attributable to the student's next academic year.
- Not "grade progression".
- Must be applied for any required recalculation.
- Gives meaning to statutory use of term "accelerate"



Academic Year Minimums

Statutory Definition of an Academic Year

Academic Progress Measured By	Minimum Completion Requirement*	Minimum Instructional Time Requirement**
Semester hours	24 semester hours	30 weeks
Trimester hours	24 trimester hours	30 weeks
Quarter hours	36 quarter hours	30 weeks
Clock hours	900 clock hours	26 weeks

*Number of hours that a student enrolled full time is expected to complete in a full academic year

**A week is a seven day period in which there is at least one day of instruction or exams.



Credit Or Clock Hours Not Applicable To Acceleration

- In determining if student is in second academic year, may not count credit/clock hours earned through
 - Advanced Placement
 - International Baccalaureate
 - Testing out
 - Life experience
 - Other similar competency measures
- Remedial credits DO count

Acceleration

- Applies beginning with the 2010-2011 Award Year
 - Cross-over period payment awarded from upcoming year does not require counting hours because payment will be from first Scheduled Award.
 - Hours earned in summer 2010 count toward acceleration later in 2010-11 Award Year

Semester Academic Year Progression

Assumes academic year defined as 24 hours

Fall 2009
50% Paid
12 Hours
Earned

Spring 2010
50% Paid
12 Hours
Earned

Summer 2010
Enrolled in
6 Hours
ELIGIBLE

First Scheduled Award

Second Scheduled Award

No Hours to Prior Academic Year
Six Hours for New Academic Year
Pay 25% of
Second Scheduled Award



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Semester Academic Year Progression

Assumes academic year defined as 24 hours

Fall 2009
50% Paid
15 Hours
Earned

Spring 2010
50% Paid
9 Hours
Earned

Summer 2010
Enrolled in
6 Hours
ELIGIBLE

First Scheduled Award

**Second Scheduled
Award**

No Hours to Prior Academic Year
Six Hours for New Academic Year
Pay 25% of
Second Scheduled Award



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Semester Academic Year Progression

Assumes academic year defined as 24 hours

Fall 2009
50% Paid
12 Hours
Earned

Spring 2010
50% Paid
9 Hours
Earned

Summer 2010
Enrolled in
6 Hours
ELIGIBLE

First Scheduled Award

**Second Scheduled
Award**

Three Hours to Prior Academic Year
Three Hours for New Academic Year
Pay 25% of
Second Scheduled Award



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Semester Academic Year Progression

Assumes Academic Year defined as 24 hours

Fall 2009
50% Paid
9 Hours
Earned

Spring 2010
50% Paid
9 Hours
Earned

Summer 2010
Enrolled in
6 Hours
NOT ELIGIBLE

First Scheduled Award

**Second Scheduled
Award**

Six Hours to Prior Academic Year
No Hours for New Academic Year
Cannot pay second Scheduled Award
May pay from upcoming Award Year



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Semester Academic Year Progression

Assumes Academic Year defined as 24 hours

Fall 2009
50% Paid
7 Hours
Earned

First Scheduled Award

Spring 2010
50% Paid
6 Hours
Earned

Summer 2010
Enrolled in
12 Hours
ELIGIBLE

**Second Scheduled
Award**

Eleven Hours to Prior Academic Year
One Hour for New Academic Year
Pay 50% of
Second Scheduled Award



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Semester Academic Year Progression

Assumes Academic Year defined as 24 hours

Fall 2009
50% Paid
6 Hours
Earned

First Scheduled Award

Spring 2010
50% Paid
6 Hours
Earned

Summer 2010
Enrolled in
12 Hours
NOT ELIGIBLE

**Second Scheduled
Award**

Twelve Hours to Prior Academic Year
No Hours for New Academic Year
Cannot pay second Scheduled Award
May pay from upcoming Award Year



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Year Round Pell: Transfers

- If student received 100% of the first Scheduled Award at prior school(s), assume student completed first academic year.
- If student received less than 100% use same ratio to the receiving school's academic year as the % of the Scheduled Award that was received from the other school(s). Round down.
- Receiving school may use actual hours earned at prior school(s).
 - Credits do not have to transfer to new school
 - Not related to academic program



Transfer To Semester School Assumption Method

- Assume student completed the same ratio of the academic year as the % of Pell received
 - Prior school disbursed \$4000 of \$5,350 Scheduled Award at that school.
 - New school's academic year is defined as 24 credits
 - $\$4,000 \times 24 / \$5,350 = 17.9$ credits rounded to 17 assumed earned at prior school.
 - Student given 17 credits toward completion of first academic year.



Transfer To Quarter School Assumption Method

- Assume student completed the same ratio of the academic year as the % of Pell received
 - Prior school disbursed \$3,450 of \$5,350 Scheduled Award at that school.
 - New school's academic year is defined as 36 credits
 - $\$3,450 \times 36 / \$5,350 = 23.2$ credits rounded up to 23 quarter credits assumed earned at prior school.
 - Student given 23 credits toward completion of first academic year.



Special Circumstances

- Academic Year completion requirement can be waived if –
 - FAA determines that student was unable to complete the hours of the first academic year due to 'circumstances beyond the student's control'.
 - Determination must be documented and made on a student by student basis.

Special Circumstances

Special Circumstances beyond a student's control -

- May include, but are not limited to –
 - Student withdrawing from classes due to illness
 - Student being unable to register for classes necessary to complete his or her eligible program because those classes were not offered.

Special Circumstances

Special Circumstances beyond a student's control does not include-

- Withdrawing to avoid a particular grade or failing to register for a necessary class that was offered during the period to avoid a particular instructor.



Two Pell Grants In An Award Year Operations



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Cross-Over Pell Reporting

If Pell disbursements must change Award Years due to requirement to pay largest payment then:

COD

Cancel disbursement from the incorrect year and re-originate and enter actual disbursement amount under the correct award year.

G-5

Business Office will do a draw down adjustment reassigning the Pell disbursement to the correct Award Year and draw down any additional Pell funds from the proper Award Year if necessary.

Cross-Over Pell Reporting

If Pell disbursements must change Award Years due to requirement to pay largest payment then:

G5

Department is trying to allow schools to be able draw upcoming year Pell funds earlier than July 1. Stay tuned.

FISAP

Report Pell disbursement on the FISAP for the Award Year from which Pell was paid.

- If FISAP already filed, adjustments made during Fall edit/correction process (by December 15).



Two Pell's: COD Reporting

- New field in COD Common Record
 - Additional Eligibility Indicator (AEI)
- Set AEI to “true” when awarding funds from the student’s second Scheduled Award.
- With AEI set, no POP MRR but still triggers concurrent enrollment flag.

Common Record Information **FRESNO STATE**


Batch ID 2010-11-07T10:07:45.256
*Attending Entity ID Entity ID Type **COD** Entity ID 10000477

“COD”

Student

Student Name JOHN Q JONES
SSN 22222222
Date of Birth 01/01/1988

Award Information

*Type PELL
*Award Year '10-'11
*Award Amount \$1000.00
*CPS Transaction Number 1
*Enrollment Date November 7 2010
*Cost of Attendance \$13000.00
*Verification Status V
*Incarcerated No
Additional Eligibility Indicator 
School Use Only

VIEW PERSON DATA CREATE NEW DISBURSEMENT CANCEL SUBMIT

Award Detail Information



Student
Name

Your University



Award Information

Award Amount Approved	\$5,350.00
Scheduled Award Amount	\$5,350.00
Award Amount Disbursed	\$2,675.00
CPS Transaction Number	01
Enrollment Date	09/08/2009
Cost of Attendance	\$26,928.00
EFC	0
Secondary EFC	
Pell CPS Highest Application Process Date	07/15/2009
Pell CPS Highest Transaction Number	1
Selected for Verification by CPS	No
Verification Status Code	
Incarcerated	
Additional Eligibility Indicator	No
Collection Status Indicator	
Collection Referral Amount	\$0.00
Collection Amount Collected	\$0.00
Eligibility Used	50.000%
School Use Only	





Training Version

Direct Loan Pell Grant TEACH Grant

Student Information

Original SSN: 001010001

Original Name Code: SE

Origination Information

Award ID: 001010001SE201008187600

Origination Status: Not Ready

Last Pell Processor Date:

Document ID:

Record Source: M

Scheduled Award:

Total of All Disbursement Amounts: \$0.00

Last Updated

User:

Date:

Time:

Record: NEW RECORD

School Information

Reporting Pell ID: 081876

Attended Pell ID: 081876

Reporting Entity ID: 99999999

Attended Entity ID: 99999999

CPS Transaction #: -R

EFC: -R

Cost of Attendance: -R \$18000

Enrollment Date: -R 08/29/2009

Additional Eligibility Indicator:

Award Amount for Entire School Year: -R

Total Payment Ceiling:

E-mail Address 1: a@n.com

E-mail Address 2:

Disbursement Profile Code:

Verification Status Code:

Low Tuition & Fees Code:

Incarcerated?:

School Internal Sequence Number:

Origination Cross Reference:

Enrollment Information - For School Use Only

Enrollment Status: 1

Academic Calendar: 3

Payment Methodology: 1

Weeks of Instructional Time Used to Calculate Payment:

Weeks of Instructional Time in Program's Definition of Academic Year:

Credit/Clock Hours Used to Calculate Payment:

Credit/Clock Hours in This Student's Program of Study's Academic Year:

Additional Eligibility Indicator Checkbox



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